

Express Mail No.: EL964765920US  
PATENT  
H0005957-1170

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ly D. Nguyen et al.	
Serial No.: Filed herewith	
Filing Date: Filed herewith	
For: THERMALLY STABILIZED TURBINE SCROLL RETENTION RING FOR UNIFORM LOADING APPLICATION	

**COMMENTARY RE INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

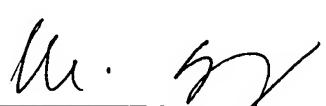
Dear Sir:

In accordance with 37 CFR §1.56, and in recognition of his duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicant identifies the prior art listed on the attached Information Disclosure Statement (Form PTO-1449). The statement is not a representation that all of the information cited is necessarily effective as prior art against the application. Only copies of non US patents and patent applications are enclosed, if any.

Applicant respectfully requests that the disclosed reference be made of record in the subject application.

Respectfully submitted,

Dated: November 12, 2003

  
\_\_\_\_\_  
Michael A. Shimokaji, Reg. No. 32,303  
Robert Desmond, Reg. No. 38,430  
Attorneys for Applicant

Honeywell International Inc.  
Law Dept. AB2  
P.O. Box 2245  
Morristown, NJ 07962-9806  
(602) 365-2588

Please type a plus sign (+) inside this box → +

PTO/SB/08A (10-01)

Approved for use through 10/31/2002 OMB 0651-0031

Approved for use through 10/31/2002, OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p style="text-align: center;"><i>Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.</i></p> <p><b>Substitute for form 1449A/PTO</b></p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheet as necessary)</p>			
<b>Complete if Known</b>			
<b>Application Number</b>		filed herewith	
<b>Filing Date</b>		filed herewith	
<b>First Named Inventor</b>		Ly D. Nguyen et al.	
<b>Group Art Unit</b>			
<b>Examiner Name</b>			
Sheet	1	of	1
		Attorney Docket Number	
		H0005957-1170	

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent documents. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231